

EL652176619US

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S WORK NUMBER

ANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

B-4175PCT 618769-8

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
not yet assignedINTERNATIONAL APPLICATION NO.  
PCT/IT99/00356INTERNATIONAL FILING DATE  
8 November 1999PRIORITY DATE CLAIMED  
6 November 1998TITLE OF INVENTION "CELLS FOR THE PRODUCTION OF HELPER DEPENDENT ADENOVIRAL VECTORS,  
METHOD FOR THE PREPARATION AND USE THEREOF"

APPLICANT(S) FOR DO/EO/US

Stefano COLLOCA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
  4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 16 below concern document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:

copy of PCT International Application as published

copy of Form PCT/IB/308

copy of International Preliminary Examination Report

copy of Search Report (see Information Disclosure Statement)

FROM LADAS & PARRY - L.A. 1323 0202  
not yet assigned

(FRI) 10. 4' 02 11:26 11:23/NO. 4800000857 P 8

INTERNATIONAL APPLICATION NO.  
PCT/IT99/00356

ATTORNEY'S DOCKET NUMBER  
B-4175PCT 618769-8

17. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

**CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	27 - 20 =	7	X \$18.00
Independent claims	2 - 3 =	0	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		0*	+ \$270.00

\$ 126.00

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\$ 0

**TOTAL OF ABOVE CALCULATIONS =**

\$ 986.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

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**SUBTOTAL =**

\$ 986.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

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**TOTAL NATIONAL FEE =**

\$ 986.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

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**TOTAL FEES ENCLOSED =**

\$ 986.00

\*PRELIMINARY AMENDMENT DELETING MULTIPLE  
DEPENDENCIES ENCLOSED HERewith.

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a. ☒ A check in the amount of \$ 986.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 12-0415. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

May 4, 2001

DATE

LADAS & PARRY

5670 Wilshire Blvd., #2100

Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300

Telefax No.: (323) 934-0202

SIGNATURE:

Richard P. Berg

NAME

28, 145

REGISTRATION NUMBER

FROM LADAS & PARRY - L. A. 13239340202

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DECEMBER 1997  
EP-13C

To: USPD (kl) Our reference: **B-4175PCT 618769-8**  
FROM: **COMMISSIONER OF THE PATENTS** Date mailed: **May 4, 2001**  
THE PATENT AND TRADEMARK OFFICE Express Mail **EL652176619US**  
MAIL ROOM STAMP HEREON ACKNOWLEDGES  
RECEIPT OF: New U.S. Patent Application  
U.S. National Phase of PCT/IT99/00356, consisting of  
Express Mail Certificate (1 page); Check No. 13157 for \$986.00;  
Transmittal Letter (2 pages); Preliminary Amendment (3 pages) with Appendix A (2  
pages); copy of PCT International Application as published consisting of: Title Page  
with Abstract (1 page); Specification (43 pages); Claims (3 pages); Drawings (2  
sheets); copy of Form PCT/IB/308 (1 page); copy of International Preliminary  
Examination Report (7 pages); Information Disclosure Statement (IDS) (2 pages) and  
Form PTO-1449 (modified) with copy of International Search Report (2 pages) and  
copies of documents cited therein; and this postcard.

STAMP

IN CONNECTION WITH:  
Stefano COLLOCA

FOR: "CELLS FOR THE PRODUCTION OF HELPER  
DEPENDENT ADENOVIRAL VECTORS, METHOD  
FOR THE PREPARATION AND USE THEREOF"

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)  
REGARDING THE INTERNATIONAL APPLICATION OF  
Stefano COLLOCA  
ENTITLED: "CELLS FOR THE PRODUCTION OF HELPER DEPENDENT ADENOVIRAL  
VECTORS. METHOD FOR THE PREPARATION AND USE THEREOF"  
INTERNATIONAL APPLN. NO. PCT/IT99/00356  
Our Ref: B-4175PCT 618769-8

Commissioner of Patents and Trademarks  
BOX PCT  
Washington, D.C. 20231

Attn: United States Designated/Elected Office (DO/EO/US)

INTERNATIONAL STAGE EXPRESS MAIL CERTIFICATE  
"Express Mail" label number EL652176619US  
Date of Deposit: May 4, 2001  
I hereby certify that the following attached papers or fee  
- postcard  
- check for \$986.00  
- Transmittal Letter (2 pages)  
- Preliminary Amendment (3 pages) with  
Appendix A (2 pages)  
- copy of PCT International Application as  
published consisting of:  
Title Page with Abstract (1 page)  
Specification (43 pages)  
Claims (3 pages)  
Drawings (2 sheets)  
- copy of Form PCT/IB/308 (1 page)  
- copy of International Preliminary  
Examination Report (7 pages)  
- Information Disclosure Statement (IDS) (2 pages)  
and Form PTO-1449 (modified) with copy of International  
Search Report (2 pages) and copies of documents cited  
therein

are being deposited with the United States Postal Service "Express  
Mail Post Office to Addressee" service with sufficient postage  
under 37 CFR 1.10 on the date indicated above in an envelope  
addressed to the Commissioner of Patents and Trademarks, Box PCT,  
Washington, D.C. 20231, Attn: United States Designated/Elected  
Office (DO/EO/US).

Karyn Lao

(Typed or printed name of person mailing paper or fee)

